
Financial Tear Sheet

Corporate Profile

NeoPhotonics is a leading designer and manufacturer of photonic integrated circuit, or PIC, based modules and subsystems for bandwidth-intensive, high-speed communications networks. NeoPhotonics' products simplify optical network implementation by delivering high levels of integration through PIC solutions, which combine multiple discrete elements, in some cases over one hundred, on a single chip. NeoPhotonics' PIC-based approach enables it to deliver the increased performance, reliability and power efficiency in modules and subsystems necessary for 100Gbps, while also reducing cost and physical size to allow complex ROADM modules. NeoPhotonics has developed PIC-based products that achieve the increased performance required to migrate networks from 10Gbps to 100Gbps, that provide network agility through cost effective ROADM modules and that enable network access in outside plant PON installations and wireless backhaul systems. NeoPhotonics' vertically integrated design and manufacturing process encompasses all steps from wafer design and fabrication to assembly and test, and allows for rapid iterations in the development cycle and shorter time-to-market for its products.

SEC Filings

For investor-related questions please contact:

| Filing Date | Form | |
|--------------------|-------------|----------------------------------|
| 04/19/18 | DEFA14A | Erica Mannion |
| | | Sapphire Investor Relations, LLC |
| | | Phone: 617-542-6180 |
| 04/19/18 | DEF 14A | IR voicemail box: 408-895-6086 |
| | | IR@neophotonics.com |
| 04/19/18 | 8-K | |
| 04/16/18 | 8-K | |

Recent Headlines

04/26/18

NeoPhotonics to Host Conference Call to Discuss 2018 First Quarter Financial Results on Tuesday, May 8, 2018

04/17/18

NeoPhotonics Issues Statement Regarding U.S. Department of Commerce Ruling on ZTE

04/05/18

NeoPhotonics Announces Inducement Grants under NYSE Rule 303A.08

Stock Information

NPTN (Common Stock)

Exchange NYSE (US Dollar)

Price **\$5.57**

Change (%) ▼ 0.12 (2.11%)

Volume 1,283,298

52 Week Low \$4.56

Market Cap \$252,178,621

Rolling EPS -1.23

PE Ratio 0

Shares Outstanding 44,319,617

Data as of 04/26/18 4:02 p.m. ET



Data provided by Nasdaq. Minimum 15 minutes delayed.

Events

| Date | Title |
|-----------------------|---|
| 05/08/18 2:00 p.m. PT | Q1 2018 NeoPhotonics Corporation Earnings Conference Call |
| 05/24/18 | NeoPhotonics Corporation at B. Riley FBR's 19th Annual Institutional Investor Conference Location Santa Monica, CA |
| 05/30/18 | NeoPhotonics Corporation at Craig-Hallum 15th Annual Institutional Investor Conference Location Minneapolis, MN |

Management

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|---------------------------|--|
| Timothy S. Jenks | President, Chief Executive Officer, Director and Chairman of the Board |
| Elizabeth (Beth) Eby | Senior Vice President and Chief Financial Officer |
| Raymond Cheung, Ph.D. | Senior Vice President & Chief Operating Officer |
| G. Ferris Lipscomb, Ph.D. | Vice President of Marketing |
| Wupen Yuen, Ph.D. | Senior Vice President and General Manager |
| Yang Chiah Yee | Senior Vice President of Global Sales |
