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## Financial Tear Sheet

### Corporate Profile

NeoPhotonics is a leading designer and manufacturer of photonic integrated circuit, or PIC, based modules and subsystems for bandwidth-intensive, high-speed communications networks. NeoPhotonics' products simplify optical network implementation by delivering high levels of integration through PIC solutions, which combine multiple discrete elements, in some cases over one hundred, on a single chip. NeoPhotonics' PIC-based approach enables it to deliver the increased performance, reliability and power efficiency in modules and subsystems necessary for 100Gbps, while also reducing cost and physical size to allow complex ROADM modules. NeoPhotonics has developed PIC-based products that achieve the increased performance required to migrate networks from 10Gbps to 100Gbps, that provide network agility through cost effective ROADM modules and that enable network access in outside plant PON installations and wireless backhaul systems. NeoPhotonics' vertically integrated design and manufacturing process encompasses all steps from wafer design and fabrication to assembly and test, and allows for rapid iterations in the development cycle and shorter time-to-market for its products.

### SEC Filings

Filing Date	Form
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10/10/17	4
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10/10/17	4
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10/05/17	8-K
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For investor-related questions please contact:

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### Recent Headlines

10/05/17

NeoPhotonics Announces Restructuring Actions and Preliminary Financial Results for the Third Quarter

09/18/17

NeoPhotonics Highlights Lasers for 100, 200 and 400G Datacenter Applications at ECOC 2017

09/18/17

NeoPhotonics to Showcase 64 Gbaud Coherent Product Suite at ECOC 2017

## Stock Information

### NPTN (Common Stock)

Exchange NYSE (US Dollar)

Price **\$5.46**

Change (%) **▲ 0.33 (6.43%)**

Volume 2,332,265

52 Week Low \$4.61

Market Cap \$238,267,897

Rolling EPS -0.61

PE Ratio 0

Shares Outstanding 43,638,809

Data as of 10/20/17 4:02 p.m. ET



Data provided by Nasdaq. Minimum 15 minutes delayed.

## Events

Date	Title
11/14/17	<b>NeoPhotonics Corporation at Raymond James Boston Fall Investors Conference</b> Location Boston, MA
11/14/17 10:30 a.m. ET	<b>NeoPhotonics Corporation at Needham Networking &amp; Security Conference</b> Location NYC
12/04/17 through 12/06/17	<b>NeoPhotonics Corporation at Raymond James Technology Investors Conference</b> Location NYC

## Management

Timothy S. Jenks	President, Chief Executive Officer, Director and Chairman of the Board
Elizabeth (Beth) Eby	Senior Vice President and Chief Financial Officer
Benjamin L. Sitrler	Senior Vice President of Global Sales
Raymond Cheung, Ph.D.	Senior Vice President & Chief Operating Officer
G. Ferris Lipscomb, Ph.D.	Vice President of Marketing
Wupen Yuen, Ph.D.	Senior Vice President and General Manager

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